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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Epigenomics AGApplication No./Patent No.: 10/582,705Filed/Issue Date: June 12, 2006Entitled: METHOD AND NUCLEIC ACIDS FOR THE IMPROVED TREATMENT OF BREAST CELL PROLIFERATIVE DISORDERSEpigenomics AG, a German corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

**OR**

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_

To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_

To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_

To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

**[NOTE:** A separate copy (i.e., a true copy of the original document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Dr. Kurt Berlin

Printed or Typed Name

Exec. VP &amp; CSO

Date


**epigenomics AG**

Kleine Präsidentenstr.1 • D-10178 Berlin

phone: +49 30 24345 0 fax: +49 30 24345 555

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**ASSIGNMENT**

WHEREAS, we, John Foekens, residing at Filosefentuin 35, Rotterdam, Netherlands NL-2908XA; Nadia Harbeck, residing at Palnkamer Str. 49, Otterfing, Germany 83624; Thomas Koenig, residing at Skalitzer Strasse 18, Berlin, Germany 10999; Sabine Maier, residing at Rue d'Espagne 93, Brussels, Belgium 1060; John Martens, residing at Schiekade 121h, Rotterdam, Netherlands NL-3033 BK; Fabian Model, residing at Debenzerstr. 73, Berlin, Germany 12683; Inko Nimmrich, residing at Heinz-Kapelle-Str. 9, Berlin, Germany 10407; Manfred Schmitt, residing at Hohenaschauer Str. 10, Munich, Germany 81669; Ralf Lesche, residing at Platanenstrasse 89A, Berlin, Germany 13156; Dimo Dietrich, residing at Greifenhagener Str. 39, Berlin, Germany 10437; Volkmar Mueller, residing at Dept. Genecology, University Medical Center, Hamburg-Eppendorf, Martinistrasse 52, Hamburg, Germany 20246; Antje Kluth, residing at Bergedorfer Weg 52, Wentorf, Germany 21465; Ina Schwope, residing at Islaendische Str. 16, Berlin, Germany 10439; Oliver Hartmann, residing at Sredzkistrasse 38, Berlin, Germany 10435; Peter Adorjan, residing at Dunckerstr. 4, Berlin, Germany 10437; Almuth Marx, residing at Viatisstrasse 88, Nuernberg, Germany 90480; and Heinz Hoefler, residing at Ismaningerstrasse 64, Munich, Germany 81675 (**ASSIGNORS**), are the joint inventors named in an application for a Letters Patent of the United States entitled METHOD AND NUCLEIC ACIDS FOR THE IMPROVED TREATMENT OF BREAST CELL PROLIFERATIVE DISORDERS, said application nationalized from PCT/EP04/014170 filed December 13, 2004, and assigned Application No. 10/582,705, and claiming priority to EP 3090432.0 filed December 11, 2003; EP 4090041.7 filed February 10, 2004; EP 4090127.4 filed April 1, 2004; EP 4013328.2 filed June 5, 2004; EP 4090380.9 filed September 30, 2004; and EP 4027213.0 filed November 16, 2004;

AND, WHEREAS, Epigenomics, AG, a German corporation, having administrative offices at Kleine Praesidentenstrasse 1, 10178 Berlin, Germany (hereinafter referred to as **ASSIGNEE**) is desirous of acquiring the entire right, title and interest in the invention described in the said application;

NOW, THEREFORE, for sufficient, good and valuable consideration, the receipt of which is hereby acknowledged, we do hereby sell, assign, and transfer unto said ASSIGNEE, our entire right, title to and interest in said application and said inventions and all corresponding foreign applications and patents on said invention(s) to be held and enjoyed by ASSIGNEE as entirely as the same would have been held and enjoyed by us had this sale, assignment, and transfer not been made, and we do hereby further agree and promise to execute all instruments and render all such assistance as ASSIGNEE may request in order to make and prosecute any and all applications on said invention(s), to enforce any and all patents on said invention(s), and to confirm in ASSIGNEE legal title to said invention(s) and all applications and patents on said invention(s), all without charge to ASSIGNEE but at no expense to us.

Executed in Rotterdam, this 18 day of July, 2007.

  
\_\_\_\_\_  
John Foekens

Executed in April, this 19 day of July, 2007.

Nadia Harbeck  
Nadia Harbeck

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Thomas Koenig

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Sabine Maier

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
John Martens

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Fabian Model

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Inko Nimmrich

Executed in Alameda, this 17th day of July, 2007.

Manfred Schmitt  
Manfred Schmitt

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Ralf Lesche

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Dimo Dietrich

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Volkmar Mueller

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Antje Kluth

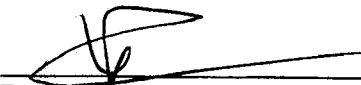
Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Ina Schwope

Executed in BERLIN, this 29 day of June, 2007.

  
\_\_\_\_\_  
Oliver Hartmann

Executed in Berlin, this 05 day of July, 2007.

  
\_\_\_\_\_  
Peter Adorjan

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Almuth Marx

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Heinz Hoefler

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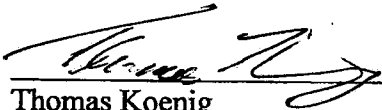
Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
John Foekens

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Nadia Harbeck

Executed in Berlin, this 5 day of July, 2007.

  
Thomas Koenig


Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Sabine Maier

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
John Martens

Executed in Berlin, this 5 day of July, 2007.

  
Fabian Model

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Inko Nimmrich

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Manfred Schmitt

Executed in Berlin, this 6 day of July, 2007.

R. Lesche  
Ralf Lesche

Executed in Berlin, this 4 day of July, 2007.

Dimo Dietrich  
Dimo Dietrich

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Volkmar Mueller

Executed in Berlin, this 5 day of July, 2007.

Antje Kluth  
Antje Kluth

Executed in Berlin, this 6 day of July, 2007.

Ina Schwoppe  
Ina Schwoppe



Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Oliver Hartmann

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Peter Adorjan

Executed in Nürnberg, this 19<sup>th</sup> day of July, 2007.

Almuth Marx  
Almuth Marx

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Heinz Hoefler

# ASSIGNMENT

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Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
John Foekens

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Nadia Harbeck

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Thomas Koenig

Executed in Brussels, this 17<sup>th</sup> day of July, 2007.

Sabine Maier  
Sabine Maier

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
John Martens

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Fabian Model

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Inko Nimmrich

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Manfred Schmitt

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Ralf Lesche

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Dimo Dietrich

Executed in Hamburg, this 26 day of July, 2007.

Volkmar Mueller  
Volkmar Mueller

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Antje Kluth

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Ina Schwope

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

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Oliver Hartmann

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

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Peter Adorjan

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Almuth Marx

Executed in Minneapolis, this 17 day of July, 2007.

Heinz Hoefler  
\_\_\_\_\_

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Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
John Foekens

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Nadia Harbeck

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Thomas Koenig

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Sabine Maier

Executed in Roseland, NL, this 18<sup>th</sup> day of July, 2007.

\_\_\_\_\_  
John Martens

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Fabian Model

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Inko Nimmrich

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Manfred Schmitt

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Ralf Lesche

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Dimo Dietrich

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Volkmar Mueller

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Antje Kluth

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Ina Schwope



Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Oliver Hartmann

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

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Peter Adorjan

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Almuth Marx

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

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Heinz Hoefler

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Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
John Foekens

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Nadia Harbeck

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

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Thomas Koenig

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\_\_\_\_\_  
Sabine Maier

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

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John Martens

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Fabian Model

Executed in Berlin, this 20 day of July, 2007.

  
Inko Nimmrich

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

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Manfred Schmitt

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

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Ralf Lesche

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Dimo Dietrich

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Volkmar Mueller

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Antje Kluth

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Ina Schwope

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Oliver Hartmann

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Peter Adorjan

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Almuth Marx

Executed in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2007.

\_\_\_\_\_  
Heinz Hoefler